



# Client Information and Consent Form Deposit Agency Services

**Agent #** \_\_\_\_\_ **Agent Name** \_\_\_\_\_

**Client Information**

Title: <input type="checkbox"/> Mr. <input type="checkbox"/> Mrs. <input type="checkbox"/> Ms. <input type="checkbox"/> Miss <input type="checkbox"/> Master				
Last Name	First Name	Middle Name	Other names used	
Physical/Civic Address ( <i>Mandatory</i> )		City	Prov/State	Country
Mailing Address ( <i>If different than physical address</i> )		City	Prov/State	Country
At present address since	Home Phone #	Cell #	Email Address	
Date of Birth	Social Insurance Number	Citizenship	Country of Residence	
Employer Name		Employer Address		
Employer Ph #	Employer Fax #	Employment Date	Employment Title	Primary Occupation

**Other Information**

1) Are you a Politically Exposed Foreign Person - PEP?	<input type="checkbox"/> No <input type="checkbox"/> Yes	<b>If Yes</b>	Provide specific details on PHT Form #3002 – PEP or HIO Information Form
2) Are you a Politically Exposed Domestic Person - PEDP?	<input type="checkbox"/> No <input type="checkbox"/> Yes		
3) Are you a Head of an International Organization - HIO?	<input type="checkbox"/> No <input type="checkbox"/> Yes		
4) Are you operating this account on behalf of a Third Party?	<input type="checkbox"/> No <input type="checkbox"/> Yes	<b>If Yes</b>	Provide specific details on PHT Form #3003 - Third Party Information Form
5) Are you a U.S. Resident or a U.S. person for tax purposes - FATCA?	<input type="checkbox"/> No <input type="checkbox"/> Yes	<b>If Yes</b>	TIN# Required
6) Are you a resident of another country, other than the U.S., for taxation purposes - CRS?	<input type="checkbox"/> No <input type="checkbox"/> Yes	<b>If Yes</b>	Country TIN# Required

**Client Identification Method** (*Check method used and complete applicable details*)

<input type="checkbox"/>	<b>Photo Identification Method</b>	Document Type	Serial Number	Issuing Jurisdiction	Country	Expiry Date	
<input type="checkbox"/>	<b>Credit File Method</b>	Source	Reference or File Number	Verification of Individual details: <ul style="list-style-type: none"> <li>• Name, Date of Birth and Address – Match</li> <li>• Enhanced Screening – Completed</li> </ul>			
			Verification performed by: ( <i>Name</i> )		Signature		
<input type="checkbox"/>	<b>Dual Process Method</b>	#	Information Verified	Source Used	Type of Document	Reference or Account #	Document Date
		1					
		2					

**Purpose and Intended Nature of the Business Relationship with PHT**

<input type="checkbox"/> Opening an Investment Account	
<input type="checkbox"/> Other (Please Explain):	



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## Customer Declaration

By signing this client information and consent form below, I consent to the deposit broker collecting my personal information contained in this form and providing this information to Peace Hills Trust Company for the sole purpose of transacting deposit business on my behalf. I also consent to the use, retention and disclosure of my personal information by Peace Hills Trust Company, as is reasonably required by them in connection with the establishment and maintenance of an account in my name, to meet legal and regulatory requirements and for statistical, audit and security purposes.

I have read the above paragraph and hereby give my consent to the collection use and disclosure of the personal information contained herein. I confirm that the information provided is true and accurate and I agree to make the deposit broker aware of changes to any of the personal information contained in this form. I acknowledge that at or before entering into subsequent investments, the deposit broker will provide me with the terms and conditions applicable to each such investment and any regulatory disclosure requirements.

I further confirm that the deposit broker named on page 2 is authorized to accept my verbal or written instructions with respect to the investment in and/or the renewal of GIC investments.

Signature	Date signed
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## Deposit Broker Declaration

I, as an authorized representative of the deposit broker, certify that I have ascertained the identity of this client in accordance with Peace Hills Trust's – Methods to Ascertain the Identity of an Individual, Reference Guide #1001 and in accordance with the PCMLTFA and supporting regulations. I have indicated which identification method was used to verify the identity of the client and recorded such information on page 1 of this form. I have no reason to believe that the client is a politically exposed person or if so, I have provided the information on the attached Politically Exposed Person Form. I have either witnessed the signatory sign this document, or I have taken reasonable measures to ensure that the client or their authorized POA has electronically signed this document using a method deemed acceptable under the PCMLTFA.

Date Client Identity was Verified			
Deposit Broker Company Name			
Address			
Contact Phone #			
Representative Name			
Broker Client #		RDBA #	
Date			
Deposit Broker Signature			

*For Peace Hills Trust purposes only:*

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