

POLITICALLY EXPOSED PERSON (PEP)

PEFP	<p>Politically Exposed Foreign Person - PEFP</p> <p>Politically Exposed Foreign Person (Foreign PEP) is defined as an individual (<i>regardless of citizenship, residence status or birth place</i>) who holds or has held one of the following offices or positions in or on behalf of a foreign state:</p> <ul style="list-style-type: none"> • Head of state or head of government • Member of the executive council of government or member of a legislature • Deputy minister or equivalent rank • Ambassador, or attaché or counsellor of an ambassador • Military officer with a rank of general or above • President of a state-owned company or a state-owned bank • Head of a government agency • Judge of a supreme court, constitutional court or other court of last resort • Leader or president of a political party represented in a legislature <p>Politically Exposed Foreign Person - includes prescribed family members and close associates of a foreign PEP, when Opening an Account or when an International Wire Transfer (Incoming/Outgoing) of \$100,000 or more, is processed by PHT.</p> <p align="center">Note: A person determined to be a foreign PEP – remains a foreign PEP forever</p>
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PEDP	<p>Politically Exposed Domestic Person - PEDP</p> <p>Politically Exposed Domestic Person (Domestic PEP) is a person who holds - or has held within the last 5 years – a specific office or position in or on behalf of the Canadian federal government, a Canadian provincial government, or a Canadian municipal government:</p> <ul style="list-style-type: none"> • Governor General, Lieutenant governor or head of government • Member of the Senate or House of Commons or member of a legislature • Deputy minister or equivalent rank • Ambassador, or attaché or counsellor of an ambassador • Military officer with a rank of general or above • President of a corporation that is wholly owned directly by Her Majesty in right of Canada or a province • Head of a government agency • Judge of an appellate court in a province, the Federal Court of Appeal or the Supreme Court of Canada • Leader or president of a political party represented in a legislature • Chief of a First Nation/Band • Mayor* <p>*In line with legislation across Canada, municipal governments include cities, towns, villages and rural (county) or metropolitan municipalities. As such, a mayor is the head of a city, town, village, or rural or metropolitan municipality, regardless of the size of the population.</p> <p>Politically Exposed Domestic Person - includes prescribed family members of a domestic PEP, when opening an account.</p> <p>Politically Exposed Domestic Person - includes prescribed family members and close associates of a domestic PEP, when an International Wire Transfer (Incoming/Outgoing) of \$100,000 or more, is processed by PHT.</p> <p align="center">Note: A person ceases to be a domestic PEP - 5 years after they have left office</p>
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HEAD OF AN INTERNATIONAL ORGANIZATION (HIO)

HIO	<p>Head of an International Organization - HIO</p> <p>Head of an International Organization is the primary person who leads the organization (<i>Example: President or CEO</i>) and who is:</p> <ol style="list-style-type: none"> 1. the head of an international organization established by the governments of states 2. the head of an institution established by an international organization <p>An International Organization is an organization set up by the governments of more than one country. Examples of International Organizations are: a) International Olympic Committee b) United Nations c) World Trade Organization...etc</p> <p>Head of an International Organization - includes prescribed family members of a HIO, when opening of an account.</p> <p>Head of an International Organization - includes prescribed family members and close associates of a HIO, when an International Wire Transfer (Incoming/Outgoing) of \$100,000 or more, is processed by PHT.</p> <p align="center">Note: A person ceases to be a HIO once the person no longer holds the position</p>
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Prescribed Family Members of a PEP or HIO		
<ul style="list-style-type: none"> • spouse or common-law partner • child 	<ul style="list-style-type: none"> • mother or father • mother or father of their spouse or common-law partner 	<ul style="list-style-type: none"> • a child of their mother or father (<i>siblings</i>)

Close Associates of a PEP or HIO			
A close associate can be an individual who is closely connected to a PEP or HIO for personal or business reasons			
Close Associates can include, but are not limited to the following:	<ul style="list-style-type: none"> • Business Partner • Romantic Relationship 	<ul style="list-style-type: none"> • Prominent member of the same political party (<i>including First Nation</i>) or union 	<ul style="list-style-type: none"> • Serving as a member of the same board • Closely carrying out charitable works