



Politically Exposed Person or Head of an International Organization Information Form

Individual determined to be a Politically Exposed Person "or" Head of an International Organization

Last Name	First Name	Middle Name	PHT Customer # (If Available)

PEP/HIO Determination

Determination was made under the following circumstances:

<input type="checkbox"/>	Account Opening – With a PHT Employee
<input type="checkbox"/>	Account Opening – With an Individual acting as Agent on behalf of PHT
<input type="checkbox"/>	Periodic Review – Customer Update/AML Compliance Review/Loan Review/Change of Signing Authorities
<input type="checkbox"/>	Periodic Review – Watchlist Monitoring
<input type="checkbox"/>	International Wire Transfer (Incoming or Outgoing) – \$100K or More <i>(Attach applicable Wire Transfer Forms #739, 740 742)</i>
<input type="checkbox"/>	Fact detected that gives reasonable grounds to suspect existing account holder may be a PEP or HIO

Individual listed above was determined to be: *(check all the apply)*

PEFP	<input type="checkbox"/>	Politically Exposed Foreign Person	PEDP	<input type="checkbox"/>	Politically Exposed Domestic Person	HIO	<input type="checkbox"/>	Head of an International Organization
	<input type="checkbox"/>	Prescribed Family Member of PEFP		<input type="checkbox"/>	Prescribed Family Member of PEDP		<input type="checkbox"/>	Prescribed Family Member of HIO
	<input type="checkbox"/>	Close Associate of PEFP		<input type="checkbox"/>	Close Associate of PEDP		<input type="checkbox"/>	Close Associate of HIO

Politically Exposed Person or Head of an International Organization Information Details:

Date Determination was made:	Full Name of Person who is/was a PEP/HIO	Date of Birth (If known)
Office or Position held	Title of Position held	
Name of Employer <i>(Government/Political Party/Court/Int'l Organization...etc)</i>	Country where position is/was held	
Time period the position is/was held	Other Details:	
Nature of Relationship <i>(If person is other than self)</i>		

Source of Funds Determination:

<input type="checkbox"/>	Account	Establish source of funds - deposited or expected to be deposited to the account
<input type="checkbox"/>	Int'l Wire Transfer	Establish source of funds - for the Outgoing International Wire Transfer transaction \$100K or more
<input type="checkbox"/>	Unable to Determine	If source of funds can not be determined – explain what reasonable measures were taken by R.O. to determine source of funds

Acknowledgement:

Date	PHT Employee Name or Deposit Agent Representative Name	Signature
Date	PHT Management Employee - Name	PHT Management Employee - Signature